PTO-1390 (Rev. 12-2004)
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|   | ANSMITTAL LETTER TO   | ATTTORNEY'S DOCKET NUMBER 3581.07US01                       |                           |  |  |  |  |  |  |  |
|---|---|---|---------------------------|--|--|--|--|--|--|--|
|   | DESIGNATED/ELECTED  | U.S. APPLICATION NO. (If known, see 37 CFR 1.5)             |                           |  |  |  |  |  |  |  |
|   | ICERNING A SUBMISSIO  | PRIORITY DATE CLAIMED                                       |                           |  |  |  |  |  |  |  |
|   | TIONAL APPLICATION NO.<br>P2003/008369  | international filing date<br>29 July 2003 (29/07/2003)      | 30 July 2002 (30/07/2002) |  |  |  |  |  |  |  |
|   | TITLE OF INVENTION PROTEIN CRYSTALLISATION METHOD   |   |                           |  |  |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US Kurt HOFFMANN   |   |   |                           |  |  |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |   |   |                           |  |  |  |  |  |  |  |
| 1.  | This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.   |   |                           |  |  |  |  |  |  |  |
| 2. 🔲 -  | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.   |   |                           |  |  |  |  |  |  |  |
| з. 🔲 -  | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.   |   |                           |  |  |  |  |  |  |  |
| 4. 🗹  | The US has been elected (Article 31).   |   |                           |  |  |  |  |  |  |  |
| 5. 🔽  | A copy of the International Application as filed (35 U.S.C. 371(c)(2))  |   |                           |  |  |  |  |  |  |  |
|   | a.  is attached hereto (required only if not communicated by the International Bureau).   |   |                           |  |  |  |  |  |  |  |
|   | b. 🖊 has been communicated by the International Bureau.   |   |                           |  |  |  |  |  |  |  |
|   | c. is not required, as the application was filed in the United States Receiving Office (RO/US).   |   |                           |  |  |  |  |  |  |  |
| 6. 🗹  | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  |   |                           |  |  |  |  |  |  |  |
|   | a. is attached hereto.  |   |                           |  |  |  |  |  |  |  |
|   | b. has been previously submi  | b. has been previously submitted under 35 U.S.C. 154(d)(4). |                           |  |  |  |  |  |  |  |
| 7.  | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  |   |                           |  |  |  |  |  |  |  |
|   | a. are attached hereto (required only if not communicated by the International Bureau).   |   |                           |  |  |  |  |  |  |  |
|   | b. have been communicated by the International Bureau.  |   |                           |  |  |  |  |  |  |  |
|   | c. have not been made; however, the time limit for making such amendments has NOT expired.  |   |                           |  |  |  |  |  |  |  |
|   | d. Implementation of the desired of |   |                           |  |  |  |  |  |  |  |
| 8.  | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).   |   |                           |  |  |  |  |  |  |  |
| 9.  | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  |   |                           |  |  |  |  |  |  |  |
| 10.   | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).  |   |                           |  |  |  |  |  |  |  |
| Items   | 11 to 20 below concern document(s   | s) or information included:                                 |                           |  |  |  |  |  |  |  |
| 11. 🗹   | An Information Disclosure Statement   | under 37 CFR 1.97 and 1.98.                                 |                           |  |  |  |  |  |  |  |
| 12.   | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.   |   |                           |  |  |  |  |  |  |  |
| 13.   | A preliminary amendment.  |   |                           |  |  |  |  |  |  |  |
| 14.   | An Application Data Sheet under 37 CFR 1.76.  |   |                           |  |  |  |  |  |  |  |
| 15.   | A substitute specification.   |   |                           |  |  |  |  |  |  |  |
| 16.   | A power of attorney and/or change of address letter.  |   |                           |  |  |  |  |  |  |  |
| 17.   | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.   |   |                           |  |  |  |  |  |  |  |
| 18.   | A second copy of the published International Application under 35 U.S.C. 154(d)(4).   |   |                           |  |  |  |  |  |  |  |
| 19.   | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).  Front page of PCT Publication No. WO 2004/012841 A1 - 1 page; copy of Int'l Search Report - 6 pages; Copy of EP Search Report - 3  |   |                           |  |  |  |  |  |  |  |
| 20. 🗹   | Other items or information; pages; Copy of Written Opinion; of pages; Copy of Response to Written Opinion - 16 pages; and Copy of IPER - 11 pages   |   |                           |  |  |  |  |  |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 2

## OT12 Rec'd PCT/PTO 28 JAN 2005

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. PCT/EP2003/008369  |             |   |                       |  |                     | ATTORNEY'S DOCKET NUMBER |    |  |  |  |
|--|-------------|---|-----------------------|--|---------------------|--------------------------|----|--|--|--|
|  | n/          | 3581.07US01                               |                       |  |                     |                          |    |  |  |  |
|  | -           | are submitted:                            |                       |  |                     |                          |    |  |  |  |
| a) Basic na  | ational fe  | э   | \$ 300                |  |                     |                          |    |  |  |  |
| b) Examin  | ation fee   |   | \$ 200                |  |                     |                          |    |  |  |  |
| c) Search f  | fee         | \$ 500                                    |                       |  |                     |                          |    |  |  |  |
|  |             | OF ABOVE CAI                              | \$ 1,000              |  |                     |                          |    |  |  |  |
|  |             | ecification and di<br>rogram listing file |                       |  |                     |                          |    |  |  |  |
|  |             | of paper or fract                         |                       |  |                     |                          |    |  |  |  |
| Total Sheets   | Extra       |   |                       | each additional 50 or fraction pund <b>up</b> to a whole number) |                     |                          |    |  |  |  |
| 72 - 100 =   | 0           | /50 =                                     | 0                     |  | × \$250.00          | \$ O                     |    |  |  |  |
| Surcharge of \$13 claimed priority of  |             |   | \$ O                  |  |                     |                          |    |  |  |  |
| CLAIMS   |             | NUMBER FIL                                | .ED                   | NUMBER EXTRA   | RATE                | \$                       |    |  |  |  |
| Total claims   |             | 25  | - 20 =                | 5  | × \$50.00           | \$ 250                   |    |  |  |  |
| Independent clai   | ims         | 3   | - 3 =                 | 0  | × \$200.00          | \$ 0                     |    |  |  |  |
| MULTIPLE DEP   | ENDENT      | CLAIM(S) (if ap                           | plicable)             |  | + \$360.00          | \$ 0                     |    |  |  |  |
|  |             |   |                       | TOTAL OF ABOVE O   |                     | 1,200                    |    |  |  |  |
| by 1/2.  | claims sm   | all entity status.                        | \$<br>625             |  |                     |                          |    |  |  |  |
|  |             |   |                       |  | SUBTOTAL =          | \$ 625                   |    |  |  |  |
| Processing fee o<br>claimed priority o   |             | _   | \$ O                  | \$ O   |                     |                          |    |  |  |  |
|  |             |   | \$ 625                |  |                     |                          |    |  |  |  |
| Fee for recording by an appropriate  |             |   | \$ 0                  |  |                     |                          |    |  |  |  |
|  |             |   | \$ 625                |  |                     |                          |    |  |  |  |
|  |             |   |                       |  |                     | Amount to be refunded:   | \$ |  |  |  |
|  |             |   | Amount to be charged: | \$   |                     |                          |    |  |  |  |
| a. A chec  | ck in the a | amount of \$ 62                           | 25.00                 | to cover the above   | e fees is enclosed. |                          |    |  |  |  |
| b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.   |             |   |                       |  |                     |                          |    |  |  |  |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 16-0631. A duplicate copy of this sheet is enclosed.                        |             |   |                       |  |                     |                          |    |  |  |  |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. |             |   |                       |  |                     |                          |    |  |  |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.               |             |   |                       |  |                     |                          |    |  |  |  |
| SEND ALL CORRESPONDENCE TO:  |             |   |                       |  |                     |                          |    |  |  |  |
|  |             |   | 9 1.11                |  |                     |                          |    |  |  |  |
| Brian L. JAF   |             | .S<br>e, Skaar & C                        | Janua                 |  |                     |                          |    |  |  |  |
| 4800 IDS C   |             | o, okaar & C                              | RRELLS                |  |                     |                          |    |  |  |  |
| 80 South 8th Street NAME   |             |   |                       |  |                     |                          |    |  |  |  |
| Minneapolis, Minnesota 55402 53,067  |             |   |                       |  |                     |                          |    |  |  |  |
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## IN THE UNE D STATES PATENT AND TRADEMA PCT RECEIVING OFFICE (RO/US)

OFFICE 10/522690

In re the application of:

Attorney Docket No.: 3581.07US01

**HOFFMANN** 

Application No.:

Not Yet Assigned

Filed:

Concurrently Herewith

For:

PROTEIN CRYSTALLISATION METHOD

## SUBMISSION OF DOCUMENTS

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Enclosed for filing in the above-identified application are the following documents:

- 1. Certificate of Express Mailing;
- 2. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 2 pages;
- 3. English Translation of the Application -33 pages;
- 4. Twelve (12) Sheets of Drawings (Figs. 1-14);
- 5. Information Disclosure Statement and Form PTO-1449 3 pages;
- 6. Copies of 2 Cited References;
- 7. Preliminary Amendment 7 pages;
- 8. Front Page of PCT Publication No. WO 2004/012841 A1 1 page;
- 9. Copy of International Search Reports mailed 12 December 2003 6 pages;
- 10. Copy of European Search Report mailed 19 February 2003 3 pages;
- 11. Copy of Written Opinion mailed 06 August 2004 6 pages;
- 12. Copy of Response to Written Opinion mailed 28 September 2004 16 pages;
- 13. Copy of International Preliminary Examination Report mailed 22 October 2004 11 pages
- 14. Check in the amount of \$625.00; and
- 15. Return Postcard.

Respectfully submitted,

Brian L. JARRELLS Registration No. 53,067

Patterson, Thuente, Skaar & Christensen, P.A. 4800 IDS Center, 80 South Eighth Street Minneapolis, Minnesota 55402-2100 (612) 252-1535

CERTIFICATE OF EXPRESS MAIL

"Express Mail" mailing label number EV 494285469 US. Date of Deposit: 28 January 2005. I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Doris Whitcomb

Name of Person Making Deposit

Signature